

Label No. EM457487674US

I hereby certify that the attached papers and/or fee is being deposited with the United States Postal Service on the date shown below as "Express Mail Post Office to Addressee," bearing a Mailing Label Number as set forth above and in an envelope addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231.

Feb 13, 1998

(date)

Dianne Webster

(Print Name)

Dianne Webster

(Signature)

Docket CPW 50075/USw

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

International Application No.

PCT/GB96/00632

International Filing Date

19 March 1996 (19.03.96)

Priority Date Claimed

4 April 1995(04.04.95); 27 February 1996(27.02.96)

U.S. Application No. (If Known) 08/930,702 Applicant(s) for DO/EO/US (inventors) WETZEL, Thierry; TAELEMAN, Marie Claire Leona Hilda

Title of Invention: SURFACTANT COMPOSITIONS

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the U.S. Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

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Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information.
17. FEE CALCULATION

Basic National Fee (37 CFR 1.492(a)(1)-(5):

- (a) Neither Search Report nor International Preliminary Examination prepared by the USPTO:
- | | |
|---|-----------|
| i. and filed without a search report from EPO or JPO | \$1070.00 |
| ii. but a Search Report has been prepared by the EPO or JPO | \$930.00 |
- (b) Search Report has been prepared by USPTO:
- | | |
|---|----------|
| i. but <u>not</u> the International Preliminary Examination | \$790.00 |
| ii. and the International Preliminary Examination | \$720.00 |
- (c) International Preliminary Examination Report prepared by USPTO and all claims satisfy PCT Art. 33(2) & (4) (i.e. the claims are not anticipated nor obvious in view of the cited art)
- | | |
|--|----------|
| | \$ 98.00 |
|--|----------|

BASIC National Filing FEE from above \$

CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT NOTED ABOVE.

Total Claims - 20 = 3 x \$22 = \$
Indep. Claims - 3 = 3 x \$82 = \$
Multiple dependent claims(s) If any, add \$270 \$

TOTAL NATIONAL FILING FEE \$ 0

Fees for filing (a) and/or (b) beyond 20 months or 30 months (which ever is applicable)

(a) \$130.00 surcharge for late filing of oath or declaration \$130.00
(b) \$130.00 processing fee for late filing of English translation of application \$

Other (Specify) _____ \$

• TOTAL FEE \$130.00

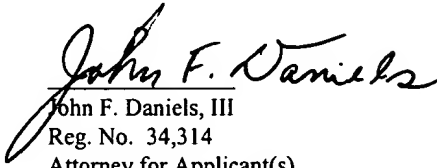
18. PAYMENT OF FEES

X The TOTAL FEE should be charged to Deposit Account No. 09-0011. The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by this paper or during the entire pendency of this application or to credit any overpayment to Deposit Account No. 09-0011. This sheet is enclosed in duplicate.

19. CORRESPONDENCE

- a. X Address all future correspondence to:
Patent & Trademark Administrator
ICI Americas Inc.- Law Department
Concord Plaza
P.O. Box 15391
3411 Silverside Road
Wilmington, DE 19850-5391
- b. X Direct all telephone calls to Attorney for Applicant as set forth at the bottom of this paper.

Respectfully submitted,


John F. Daniels, III
Reg. No. 34,314
Attorney for Applicant(s)
ICI AMERICAS INC.
(302) 887-3727



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

08/930702
U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

08/930,702

WETZEL

INTERNATIONAL APPLICATION NO.

T CPW50075/US

PCT/GB96/00632

I.A. FILING DATE

PRIORITY DATE

03/19/96

04/04/95

DATE MAILED:

01/26/98

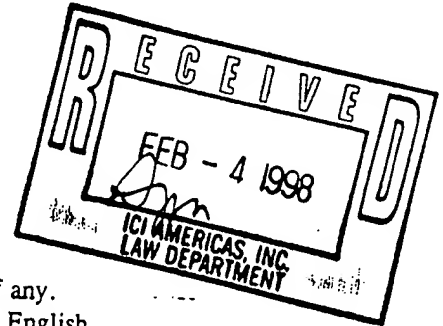
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.

- ☐ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☐ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☒ Preliminary amendment(s) filed 1 Oct 97 and _____
☐ Information Disclosure Statement(s) filed _____ and _____
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:



2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.